

Sahlqvist theory for deductive systems

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Intuitionistic logic IPC is complete with respect to

- ▶ **Intuitionistic Kripke frames**, i.e., posets $\mathbb{X} = \langle X, \leq \rangle$; and
- ▶ **Heyting algebras**, i.e., structures $\mathbf{A} = \langle A; \wedge, \vee, \rightarrow, 0, 1 \rangle$ that comprise a bounded lattice $\langle A; \wedge, \vee, 0, 1 \rangle$ and satisfy

$$a \wedge b \leq c \iff a \leq b \rightarrow c, \text{ for every } a, b, c \in A.$$

1965–1974: Kripke and Esakia connected the two as follows:

- ▶ With every Heyting algebra \mathbf{A} we associate the poset \mathbf{A}_* of **meet irreducible** (a.k.a. prime) **filters** of \mathbf{A} .
- ▶ With every poset \mathbb{X} we associate a Heyting algebra

$$\mathbf{Up}(\mathbb{X}) := \langle \mathbf{Up}(\mathbb{X}); \cap, \cup, \rightarrow, \emptyset, X \rangle$$

whose universe is the set of **upsets** of \mathbb{X} and \rightarrow is defined as

$$U \rightarrow V := \{x \in X : \text{for every } y \geq x, \text{ if } y \in U, \text{ then } y \in V\}.$$

In addition, every Heyting algebra \mathbf{A} embeds into $\mathbf{Up}(\mathbf{A}_*)$ via the map defined by the rule

$$a \longmapsto \{F \in \mathbf{A}_* : a \in F\}.$$

Given a formula φ , two natural questions arise:

- ▶ **Canonicity**: Is it true that for every Heyting algebra A ,
if $A \models \varphi$, then $\text{Up}(A_*) \models \varphi$?
- ▶ **Correspondence**: Is there a sentence $\text{tr}(\varphi)$ in the language of posets such that for every poset \mathbb{X} ,
$$\text{Up}(\mathbb{X}) \models \varphi \iff \mathbb{X} \models \text{tr}(\varphi)?$$

This holds true for the following formulas:

Definition

A modal formula is

- ▶ a **Sahlqvist antecedent** (SA) if it is constructed from atoms, negative formulas, and 0 and 1 using only \wedge and \vee ;
 - ▶ a **Sahlqvist implication** (SI) if it is positive, or of the form $\neg\varphi$ for a SA φ , or of the form $\varphi \rightarrow \psi$ for a SA φ and a positive ψ ;
 - ▶ **Sahlqvist** if it is constructed from SI using only \wedge and \vee .
- ▶ **Example**. $x \vee \neg x$ and $(x \rightarrow y) \vee (y \rightarrow x)$ are Sahlqvist, whence CPC and LC are axiomatized by Sahlqvist formulas.

Definition

A **Sahlqvist quasiequation** is an expression of the form

$$\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z,$$

where $\varphi_1, \dots, \varphi_n$ are Sahlqvist formulas.

Sahlqvist Theorem for IPC

The following holds for every Sahlqvist quasiequation Φ :

- ▶ **Canonicity**: For every Heyting algebra A ,

$$\text{if } A \models \Phi, \text{ then } \text{Up}(A_*) \models \Phi;$$

- ▶ **Correspondence**: There exists an effectively computable sentence $\text{tr}(\Phi)$ such that for every poset \mathbb{X} :

$$\text{Up}(\mathbb{X}) \models \Phi \iff \mathbb{X} \models \text{tr}(\Phi).$$

Example. The meaning of $\text{tr}(x \vee \neg x)$ is “ \mathbb{X} is **discrete**” and that of $\text{tr}((x \rightarrow y) \vee (y \rightarrow x))$ is “ \mathbb{X} is a **root system**”.

Aim: To extend Sahlqvist canonicity to fragments of IPC with \wedge .

- ▶ The case of **pseudocomplemented semilattices (PSL)**:

Let $A \in \text{PSL}$ and

$$\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z$$

a Sahlqvist quasiequation in $\wedge, \neg, 0$ only valid in A .

- ▶ There's an embedding $f: A \rightarrow B$ for a HA B s.t. $\text{Up}(B_*) \models \Phi$.
- ▶ The **partial** map $f_*: B_* \rightsquigarrow A_*$ with

$$\text{dom}(f_*) := \{F \in B_* : f^{-1}[F] \in A_*\}$$

defined by the rule $F \mapsto f^{-1}[F]$ is a surjective

partial negative p-morphism,

i.e., order preserving and s.t. for all $F \in \text{dom}(f_*)$ and $G \in A_*$,

$$f_*(F) \subseteq G \implies \exists H \in \text{dom}(f_*) \text{ s.t. } F \subseteq H \text{ and } G \subseteq f_*(H).$$

- ▶ By **duality**, the map $(f_*)^*: \text{Up}(A_*) \rightarrow \text{Up}(B_*)$, defined by

$$U \mapsto B_* \setminus \downarrow f_*[A_* \setminus U],$$

is an embedding of pseudocomplemented semilattices.

- ▶ Since $\text{Up}(B_*)$ validates Φ , we conclude that so does $\text{Up}(A_*)$.

Sahlqvist Canonicity for fragments of IPC with \wedge

Let Φ be a Sahlqvist quasiequation in the language of a fragment L of IPC comprising \wedge . For every L -subreduct A of a Heyting algebra, if $A \models \Phi$, then $\text{Up}(A_*) \models \Phi$.

- ▶ The **excluded middle** $x \vee \neg x$ can be rendered as

$$\Psi = x \wedge y \leq z \ \& \ \neg x \wedge y \leq z \implies y \leq z$$

which is canonical in PSL. Furthermore, for every poset \mathbb{X} ,

$$\text{Up}(\mathbb{X}) \models \Psi \iff \text{the order of } \mathbb{X} \text{ is the identity.}$$

- ▶ The **bounded top width** n formula btw_n can be rendered as

$$\Phi_n = \big\&_{1 \leq i \leq n+1} \left(\neg(\neg x_i \wedge \bigwedge_{0 < j < i} x_j) \wedge y \leq z \right) \implies y \leq z,$$

which is canonical in PSLs and for every poset \mathbb{X} ,

$\text{Up}(\mathbb{X}) \models \Phi_n \iff$ in principal upsets in \mathbb{X} , every $(n+1)$ -element antichain is below an n -element one.

Remark. The formula btw_n cannot be rendered as an equation!

The intuitionistic Sahlqvist theory can be extended to any logic.

- ▶ A logic \vdash is a substitution invariant finitary consequence relation on the set of formulas of some algebraic language.
- ▶ Given an algebra A , let $\text{Fi}_{\vdash}(A)$ be the lattice of **deductive filters** of \vdash on A , i.e., subsets of A closed under the rules of \vdash .
- ▶ The lattice $\text{Fi}_{\vdash}(A)$ is **algebraic** with semilattice of compact elements $\text{Fi}_{\vdash}^{\omega}(A)$.
- ▶ A logic \vdash is **protoalgebraic** if there exists a set of formulas $\Delta(x, y)$ such that $\emptyset \vdash \Delta(x, x)$ and $x, \Delta(x, y) \vdash y$.

Most logics with a very weak implication are protoalgebraic as witnessed by the set $\Delta = \{x \rightarrow y\}$.

A logic \vdash is said to have:

- ▶ the **inconsistency lemma** (IL) when for every $n \in \mathbb{Z}^+$ there exists a finite set $\sim_n(x_1, \dots, x_n)$ of formulas s.t.

$$\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \text{ is inconsistent iff } \Gamma \vdash \sim_n(\varphi_1, \dots, \varphi_n);$$

- ▶ the **deduction theorem** (DT) when there exists a finite set $x \Rightarrow y$ of formulas s.t.

$$\Gamma, \varphi \vdash \psi \text{ iff } \Gamma \vdash \varphi \Rightarrow \psi;$$

- ▶ the **proof by cases** (PC) when there exists a finite set $x \Upsilon y$ of formulas s.t.

$$\Gamma, \varphi \vdash \gamma \text{ and } \Gamma, \psi \vdash \gamma \text{ iff } \Gamma, \varphi \Upsilon \psi \vdash \gamma.$$

The structure of the semilattices $\text{Fi}_{\vdash}^{\omega}(\mathbf{A})$ determines the validity of these properties in a logic \vdash .

Theorem (Blok & Pigozzi, Czelakowski & Dziobiak, Raftery)

A protoalgebraic logic \vdash has the **IL** (resp. DT, PC) iff the semilattice $\text{Fi}_{\vdash}^{\omega}(\mathbf{A})$ is **pseudocomplemented** (resp. implicative semilattice, distributive lattice) for every algebra \mathbf{A} .

A formula $\varphi(x_1, \dots, x_n)$ of IPC is **compatible** with a logic \vdash when

- ▶ If \neg occurs in φ , then \vdash has the **IL**;
- ▶ If \rightarrow occurs in φ , then \vdash has the **DT**;
- ▶ If \vee occurs in φ , then \vdash has the **PC**.

In this case, for every $k \in \mathbb{Z}^+$ we define a finite set

$$\varphi^k(x_1^1, \dots, x_1^k, \dots, x_n^1, \dots, x_n^k)$$

of formulas of \vdash as follows:

- ▶ If $\varphi = x_i$, then $\varphi^k := \{x_i^1, \dots, x_i^k\}$;
- ▶ If $\varphi = \psi_1 \wedge \psi_2$, then $\varphi^k := \psi_1^k \cup \psi_2^k$;
- ▶ If $\varphi = \neg\psi$ and $\psi^k = \{\chi_1, \dots, \chi_m\}$, then

$$\varphi^k := \sim_m(\chi_1, \dots, \chi_m),$$

where $\sim_m(z_1, \dots, z_m)$ is the set witnessing the **IL** for \vdash ;

- ▶ Similarly, for \vee and \rightarrow .

The **spectrum** of an algebra A relative to a logic \vdash is the poset $\text{Spec}_+(\mathbf{A})$ of the **meet irreducible** deductive filters of \vdash on A .

General Sahlqvist Theorem

TFEA for a Sahlqvist quasiequation

$$\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_m \wedge y \leq z \implies y \leq z$$

compatible with a protoalgebraic logic \vdash :

- ▶ The logic \vdash validates the metarules

$$\frac{\Gamma, \varphi_1^k(\vec{\gamma}_1, \dots, \vec{\gamma}_n) \triangleright \psi \quad \dots \quad \Gamma, \varphi_m^k(\vec{\gamma}_1, \dots, \vec{\gamma}_n) \triangleright \psi}{\Gamma \triangleright \psi}$$

for all $k \in \mathbb{Z}^+$ and finite sets of formulas $\Gamma \cup \{\psi, \vec{\gamma}_1, \dots, \vec{\gamma}_n\}$;

- ▶ For every algebra A , we have $\text{Spec}_+(\mathbf{A}) \models \text{tr}(\Phi)$.

Proof by example. Suppose that the Sahlqvist quasiequation

$$\Phi = x \wedge y \leq z \ \& \ \neg x \wedge y \leq z \implies y \leq z.$$

corresponding to the **excluded middle** $x \vee \neg x$ is compatible with \vdash .

Remark. The semilattice $\text{Fi}_{\vdash}^{\omega}(A)$ is pseudocomplemented, for all A .

- ▶ Suppose that \vdash validates the metarules

$$\frac{\Gamma, \gamma_1, \dots, \gamma_n \triangleright \psi \quad \Gamma, \sim_n(\gamma_1, \dots, \gamma_n) \triangleright \psi}{\Gamma \triangleright \psi}.$$

- ▶ Then $\text{Fi}_{\vdash}^{\omega}(Fm)$ validates Φ .
- ▶ By protoalgebraicity, $\text{Fi}_{\vdash}^{\omega}(A)$ validates Φ , for every A .
- ▶ By **Canonicity**, $\text{Up}(\text{Fi}_{\vdash}^{\omega}(A)_{*}) \models \Phi$.
- ▶ As $\text{Fi}_{\vdash}(A)$ is an **algebraic lattice**,

$\text{Fi}_{\vdash}(A) \cong$ the lattice of filters of the semilattice $\text{Fi}_{\vdash}^{\omega}(A)$.

- ▶ Consequently, $\text{Spec}_{\vdash}(A) \cong \text{Fi}_{\vdash}^{\omega}(A)_{*}$.
- ▶ Thus, $\text{Up}(\text{Spec}_{\vdash}(A)) \models \Phi$.
- ▶ By **Correspondence**, $\text{Spec}_{\vdash}(A) \models \text{tr}(\Phi)$.

Examples. Let \vdash be a protoalgebraic logic with the IL.

Corollary (Lávička & Přenosil)

The logic \vdash validates the following metarules for $n \in \mathbb{Z}^+$:

$$\frac{\Gamma, \gamma_1, \dots, \gamma_n \triangleright \psi \quad \Gamma, \sim_n(\gamma_1, \dots, \gamma_n) \triangleright \psi}{\Gamma \triangleright \psi}$$

iff it is **semisimple**: the poset $\text{Spec}_\vdash(\mathbf{A})$ is discrete, for every \mathbf{A} .

Corollary (for $n = 1$, Lávička, M., Raftery)

The logic \vdash validates the following metarules for $n \in \mathbb{Z}^+$:

$$\frac{\Gamma, \sim(\vec{\gamma}_1 \cup \dots \cup \vec{\gamma}_{i-1} \cup \sim \vec{\gamma}_i) \triangleright \psi \text{ for every } 1 \leq i \leq n+1}{\Gamma \triangleright \psi}$$

iff it has **bounded top width n** : the principal upsets in $\text{Spec}_\vdash(\mathbf{A})$ have at most n maximal elements, for every \mathbf{A} .

A concrete example.

- ▶ For every $\Gamma = \{\gamma_1, \dots, \gamma_n\}$ and φ , we write

$$\Gamma \rightarrow \varphi := (\gamma_1 \rightarrow (\gamma_2 \rightarrow (\dots (\gamma_{n-1} \rightarrow \gamma_n) \dots))) \rightarrow \varphi$$

- ▶ Then for every Sahlqvist quasiequation

$$\Phi = \varphi_1 \wedge y \leq z \& \dots \& \varphi_n \wedge y \leq z \implies y \leq z$$

compatible with a logic \vdash , we define a set of formulas

$$\Phi^* := \bigcup_{k \in \mathbb{Z}^+} ((\varphi_1^k \rightarrow x) \cup \dots \cup (\varphi_n^k \rightarrow x)) \rightarrow x.$$

Sahlqvist Canonicity for fragments of IPC with \rightarrow

Let L be a fragment of IPC comprising \rightarrow . For every L -subreduct A of a Heyting algebra,

$$\text{if } A \models \Phi^*, \text{ then } \text{Up}(\text{Spec}_L(A)) \models \Phi^*,$$

where $\text{Spec}_L(A)$ is the poset of meet irr. **implicative** filters of A .

Thank you very much for your attention!